

University of Arkansas – Fort Smith
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General Syllabus

FIN 4733 Principles of Portfolio Management

Credit Hours: 3

Lecture Hours: 3

Laboratory Hours: 0

Prerequisite (s): FIN 3713 Business Finance, admission to the business program or consent of instructor.

Effective Catalog: 2024-2025

I. Course Information

A. Catalog Description

Advanced study of selecting and combining securities into a portfolio. Includes setting investment goals, diversification and risk reduction, capital market theory, and portfolio selection models. Focus is on managing risk and return. Advanced application of forming and managing a portfolio involving real money of the H. L. and Janelle Hembree Student Investment Portfolio Fund.

II. Student Learning Outcomes

A. Subject Matter

Upon successful completion of the course, the student will be able to:

1. Assess basic techniques for managing risk and return.
2. Relate basic techniques in portfolio diversification.
3. Apply basic techniques in portfolio selection.
4. Construct optimal portfolios using appropriate financial theory of combining securities.
5. Recommend strategies to improve the performance of the H. L. and Janelle Hembree Student Investment Portfolio Fund.

B. University Learning Outcomes

This course enhances student abilities in the following areas:

Analytical Skills

Critical Thinking Skills - Students will use critical thinking skills to identify problems/issues in the development of financial portfolios. Students will identify a problem or issue and research, evaluate, and compare information from varying sources in order to evaluate accuracy and bias relevant to the problems/issues. Students will generate recommendations with supporting justification based on their research.

III. Major Course Topics

- A. The Portfolio Management Process
- B. Individual Investor Portfolios
- C. Institution Portfolio Management Process
- D. Capital Market Expectation
- E. Asset Allocation
- F. Equity Portfolio Management
- G. Fixed Income Portfolio Management
- H. Risk Management
- I. Execution of Portfolio Decision
- J. Monitoring and Rebalancing
- K. Evaluating Portfolio Performance