



**UNIVERSITY OF ARKANSAS-FORT SMITH**  
**Human Research Exempt Certification Form**

*To Be Completed Only If Protocol is to Receive Exempt Review*

<b>IRB Use Only</b>	UAFS IRB Registration No.	E-mail to: <a href="mailto:irb@uafs.edu">irb@uafs.edu</a>
	Date Rec'd:	
<b>Principle Investigator</b>	Last Name:	First Name:
	Telephone Number:	E-mail:
<i>Click on the box to mark.</i>	Investigator Status:	
	<input type="checkbox"/> 1. Faculty <input type="checkbox"/> 2. Staff <input type="checkbox"/> 3. Undergraduate Student <input type="checkbox"/> 4. Graduate Student	
<b>Faculty Advisor</b>	Last Name:	First Name:
	Department:	Office Address:
	Telephone Number:	E-mail:
<b>Project Type</b>	<input type="checkbox"/> 1. Faculty Research <input type="checkbox"/> 2. Staff Research <input type="checkbox"/> 3. Class Project <input type="checkbox"/> 4. Honors Project <input type="checkbox"/> 5. Thesis/Dissertation <input type="checkbox"/> 6. Other	
<b>Project Title</b>  <b>Brief Description of the Project (1-2 sentences)</b>  <b>Brief Methods Explanation (1-2 sentences)</b>  <b>One Full Literature Citation</b>  <b>How the data will be used (publication, presentation, etc.)</b>		

<b>Funding</b>	Is the project receiving extramural funding: If yes, specify source:	

*Please Read Carefully Before Submitting Application for Exempt Review*

Applicability:

- ✓ If you are requesting Exempt Status, your research activities must adhere to the following criteria:
  - **Present no more than minimal risk.** *Minimal risk* means that the probability and magnitude of harm or discomfort anticipated in the research are not greater in and of themselves from those ordinarily encountered in daily life or during the performance of routine physical or psychological examination or tests.
  - **Involve only procedures listed in one or more of the Exempt Research Categories listed below.** The activities listed should not be deemed to be of minimal risk simply because they are included on this list. Inclusion on this list merely means that the activity is eligible for exemption when the specific circumstances of the proposed research involve no more than minimal risk to human subjects.
  - **Not involve prisoners as participants.**
  - **Not be subject to FDA regulations except in accordance with 45 CFR 46.101(b)(6).**
  - **Not involve children under category 2(b) unless research involves observations of public behavior AND the investigators do not participate in the activities being observed.**
- ✓ The categories in this list apply regardless of the age of subjects, except as noted.
- ✓ Please attach any surveys or interview questions you will be using.
- ✓ Submit a copy of your ethics certification.

Please indicate which of the following categories applies to your research:

1. Educational Research 45CFR46.101(b)(1)

- a. Normal Educational Practices and settings. Study of normal educational practices that will be conducted in commonly accepted settings.

2. Research using surveys, interviews, educational testing 45CFR46.101(b)(2)

- a. Anonymous Education Tests, Surveys, Interviews, or Observations. Involves the use of education tests, survey procedures, interview procedures, or observations of public behavior. May not include identifiers.

3. Research using surveys, interviews, educational testing involving elected/appointed public officials or where confidentiality of data is protected by federal statute 45CFR46.101(b)(3)

- a. Identifiable Subjects in Special Circumstances. If section b subjects are elected or appointed public officials or candidates for public office or federal statutes require without exception that the confidentiality be maintained.

4. Research using existing specimens/data 45CFR46.101(b)(4)

- a. Collection or Study of Existing Data

5. Research or Demonstration Projects Approved by Federal Department/Agency Head 45CFR46.101(b)(5)

- a. Public Benefit or Service Programs. Must be conducted by or subject to the approval of department or agency head, designed to study, evaluate, or examine public benefit or service programs, procedures, changes in or alternative under the programs, etc.

6. Taste and food quality evaluation or consumer acceptance 45CFR46.101(b)(6)

- a. Taste and Food Evaluation and Acceptance Studies. Limited to taste and food quality evaluation studies that do not involve risks of indigestion or vitamin deficiencies. Studies involving consumption of alcohol, vitamins, or supplements are not eligible for exempt status.

(Bankhart & Amdur, 2006).